Heteromeric interactions between the catalytically impaired human epidermal growth factor receptor (HER3/ERBB3) and its catalytically active homologs EGFR and HER2 are essential for their signaling. Different ligands can activate these receptor pairs but lead to divergent signaling outcomes through mechanisms that remain largely unknown. We used stochastic optical reconstruction microscopy (STORM) with pair-correlation analysis to show that EGF and neuregulin (NRG) can induce different extents of HER3 clustering that are dependent on the nature of the coexpressed HER receptor. We found that the presence of these clusters correlated with distinct patterns and mechanisms of receptor phosphorylation. NRG induction of HER3 phosphorylation depended on the formation of the asymmetric kinase dimer with EGFR in the absence of detectable higher-order oligomers. Upon EGF stimulation, HER3 paralleled previously observed EGFR behavior and formed large clusters within which HER3 was phosphorylated via a noncanonical mechanism. HER3 phosphorylation by HER2 in the presence of NRG proceeded through still another mechanism and involved the formation of clusters within which receptor phosphorylation depended on asymmetric kinase dimerization. Our results demonstrate that the higher-order organization of HER receptors is an essential feature of their ligand-induced behavior and plays an essential role in lateral cross-activation of the receptors. We also show that HER receptor ligands exert unique effects on signaling by modulating this behavior.

**HER/ERBB receptors | receptor tyrosine kinase signaling | receptor clustering | STORM | EGF activation**

The human epidermal growth factor receptors (HERs/ErbBs) are essential regulators of development and adult homeostasis (1). All four of them, EGF receptor (EGFR; HER1), HER2 (ErbB2), HER3 (ErbB3), and HER4 (ErbB4), are at the focus of therapeutic efforts in a variety of human diseases. Most of what we know about their activation mechanism has been revealed by the studies on EGFR, which showed that ligand binding induces EGFR dimerization through a series of structurally well-defined interactions between the extracellular and intracellular receptor domains (2). These interactions result in the formation of an asymmetric kinase dimer in which one kinase (termed the “activator kinase”) is asymmetrically positioned to activate the second kinase (termed the “receiver kinase”) allosterically (3). The receiver kinase then is poised to phosphorylate the receptor tails, resulting in the recruitment of downstream signaling molecules and signal propagation. One of the characteristic features of the HER receptor family is a significant degree of heteromeric interactions in response to ligand binding through which the receptors activate a variety of signaling pathways (1). These interactions are particularly important for signaling by the orphan receptor HER2 and the catalytically impaired HER3, which do not signal on their own under normal conditions. Although all HER receptors are assumed to form heterodimers in which the kinase domains recapitulate the asymmetric kinase homodimer characterized for EGFR (3), the molecular details of the heteromerization are largely not understood. The protein interfaces involved in the asymmetric kinase domain interactions are highly conserved among all HER receptors and have been structurally shown to support the formation of another active HER receptor homodimer, HER4/HER4, as well as an EGFR/HER3 heterodimer (4, 5). Enzymatic studies on the isolated kinase domains of HER receptors have also provided convincing evidence that their catalytic activation in heterodimers is dependent on the asymmetric dimer interface (4–7). On the other hand, because of a lack of structures of liganded extracellular domain heterodimers, we do not know how ligand binding promotes heterotypic interactions between the extracellular portions of these receptors. Functional studies in cells have shown that binding of a ligand cognate to only one HER receptor is sufficient to induce cross-activation of other HER receptors (8–10). How these interactions are further fine-tuned by ligands with different specificity for the individual receptors is presently not completely understood.

Several studies have indicated that heterodimeric HER receptor complexes are functionally distinct, depending on which cognate ligand activates them. The example of pairing between EGFR, which specifically binds its own ligands such as EGF, and the catalytically impaired HER3, which binds its own ligand neuregulin (NRG), is particularly intriguing. Signaling crosstalk between EGFR and HER3 plays a predominant role in signaling in the adult liver and in melanomas (11, 12) and in underlying poor response to therapies that directly target HER2 (13). The EGFR/HER3 pair can be activated either through EGF or

**Significance**

Signaling by human epidermal growth factor receptor (HER) receptors relies on heteromeric interactions between four members of the family: EGF receptor, HER2, HER3, and HER4. These interactions remain remarkably poorly understood. Using super-resolution microscopy imaging and signaling assays, we demonstrate a rich scope of HER receptor organization patterns that are differentially influenced by ligands and receptor expression, resulting in unique phosphorylation signatures of HER receptors. We also show that there are fundamental differences in molecular mechanisms that govern HER receptor cross-activation, which do not always follow the canonical kinase dimerization mechanism. Our data underscore an emerging concept in the field that HER receptor signaling needs to be interpreted in the context of higher-order receptor oligomers, redefining the basic signaling unit relevant for receptor function.


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NRG, but although the same active enzyme (EGFR) is engaged in both cases, the resulting pattern of receptor phosphorylation is significantly different when the EGF/HER3 complex is induced by EGF or NRG stimulation (Fig. 1). EGF induces robust phosphorylation of both receptors, whereas NRG stimulation results in poor EGFR phosphorylation (Fig. 1) (10, 14). Based on the structural understanding of the EGFR/HER3 kinase interaction, these two kinases should interact in the same way in each case, with EGFR in the receiver position and HER3 serving as an allosteric activator. What then explains differences in phosphorylation outcomes?

Although our mechanistic understanding of ligand-induced HER receptor activation has been developed primarily in the context of a dimer model, initial studies on the behavior of EGFR in response to EGF referred to higher-order oligomerization (15, 16). Subsequently, numerous studies have provided quantitative evidence that ligand-induced active EGFR complexes extend beyond dimers to larger oligomers. In some studies, such indications came from the experiments in which FRET was detected between two EGF ligand molecules; because of the structural constraints of their interaction, this transfer is most logically explained by receptor oligomerization (17–21). Other studies imaged fluorescently labeled EGFR itself using image correlation microscopy (ICS) (22, 23), fluorescence correlation spectroscopy (FCS) (24, 25), stochastic optical reconstruction microscopy (STORM) (26), FRET, fluorescence lifetime imaging microscopy (FLIM) (27, 28), spatial mapping of the receptor by immuno-electron microscopy (29, 30), or number and brightness analysis (31). This spectrum of experimental approaches all led to a unifying conclusion that EGFR is organized in larger clusters in response to ligand binding. More recent studies provide evidence that these higher-order multimers are needed to achieve the complete spectrum of EGFR phosphorylation (21, 25).

At present, it is uncertain whether in the HER family higher-order oligomerization is unique to EGFR or constitutes an essential component of signaling by all HER receptors. It is also unknown how this behavior of EGFR influences its interactions with other HER receptors and to what extent the formation of higher-order complexes is involved in HER receptor crosstalk initiated by different ligands. In this study we set out to understand the mechanism by which EGF and NRG stimulate different phosphorylation states of HER receptors. Using NR6 cells that do not express detectable levels of any HER receptors, we created stable cell lines expressing HER receptors and imaged these receptors in the range corresponding to physiological expression levels. We applied STORM analysis that combines conventional STORM superresolution imaging with a blinking correction algorithm. In STORM, the position of a single molecule can be determined with a precision of ~20 nm (FWHM) over a wide range of receptor densities. As a result, this method provides an advantage over published single-molecule imaging analyses of HER receptors, which are often based on single-molecule tracking of a sparsely labeled HER receptor or its ligand and are confounded by contributions from unlabelled “dark” receptors that represent the endogenous receptor populations (17–22, 32, 33). Additionally, because many fluorophores commonly used for STORM blink erratically (34), we chose a fluorophore [a photo-activatable fluorescent protein (PAPF), mEos3.2, hereafter referred to as “mEos”] that enables correction of the blinking behavior in postimaging analysis. The correction eliminates the overcounting of receptors, which is inevitable when the quantification of receptor oligomerization is based on fluorescence intensity, as applied in previous STORM analysis of EGFR oligomerization (26). We then scored the blink-corrected molecular positions with a pair-correlation analysis, obtaining a reliable representation of the extent of receptor clustering based on the fluorescent image.

We show that when EGFR and HER3 are coexpressed, EGF induces robust phosphorylation of both receptors, but NRG stimulation leads only to HER3 phosphorylation. Using STORM with blink-correction analysis, we show that in response to the two different ligands, EGF and NRG, EGFR and HER3 populate distinct oligomeric states, with EGF stimulation resulting in higher-order clustering of both receptors driven by EGFR oligomerization. Moreover, we show that although phosphorylation of HER3 in response to NRG is a consequence of direct asymmetric dimer formation between EGFR and HER3, the asymmetric interaction between these two receptors is dispensable for EGFR phosphorylation in response to EGF. Our data indicate that the recruitment of HER3 into EGF-dependent EGFR clusters results in its phosphorylation through lateral propagation and is independent of the formation of the canonical active complex between the EGFR and HER3 kinase domains. In contrast, HER3 interaction with HER2 in response to NRG binding also proceeds through cluster formation but remains dependent on the canonical asymmetric interactions within the HER2/HER3 kinase dimer. Our data thus unravel unique features of HER3 organization into HER receptors and a surprising complexity of the mechanisms that support ligand-induced crosstalk in the HER receptor family.

Results

EGF and NRG Induce Different Phosphorylation Receptor States in the EGFR/HER3 Complexes. To examine the differences in the mechanism for receptor activation when signaling by the EGFR/HER3 complex is induced by EGF or by NRG at normal physiological levels of receptor expression, we created stable NR6 cell lines expressing HER receptor constructs. NR6 cells do not express detectable levels of any HER receptor or its ligand and are confounded by contributions from unlabelled “dark” receptors that represent the endogenous receptor populations (17–22, 32, 33). Additionally, because many fluorophores commonly used for STORM blink erratically (34), we chose a fluorophore [a photo-activatable fluorescent protein (PAPF), mEos3.2, hereafter referred to as “mEos”] that enables correction of the blinking behavior in postimaging analysis. The correction eliminates the overcounting of receptors, which is inevitable when the quantification of receptor oligomerization is based on fluorescence intensity, as applied in previous STORM analysis of EGFR oligomerization (26). We then scored the blink-corrected molecular positions with a pair-correlation analysis, obtaining a reliable representation of the extent of receptor clustering based on the fluorescent image.

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![Fig. 1. Differential effects of NRG and EGF on the phosphorylation of coexpressed HER3 and EGFR. NR6 cells stably coexpressing mEos–HER3 and EGFR-GFP were serum starved for 6 h, stimulated with 10 nM EGF or 10 nM NRG for 2–60 min, and subsequently lysed. Western blot analysis of the lysates with the indicated antibodies reveals phosphorylation states of EGFR and HER3 as well as AKT and ERK. Antibodies used are listed in Table S1.](image-url)
Fig. 2. STORM imaging of EGFR and HER3 upon ligand stimulation. (A) Schematic representation of the mEos–HER receptor fusion. (B) Fluorescent blinks (colored crosses) from individual mEos-tagged receptors were combined based on a threshold radius (r) and time (color scale). The positions of all blinks were averaged to determine the blink-corrected position (denoted by a black x). (C) Molecular positions of a simulated monomer or oligomer. The number of molecules within each red shell (which has a thickness of the bin size, 20 nm) was counted and normalized for the area of each shell for each molecule in the image. Counts are summed in the pairwise distance histograms and show increasing height of bins at short distances with increasing size of oligomers because of the increase in the local density of molecules. Red curves reflect the bin height and are plotted instead of bins in all subsequent figures to allow simple plotting of multiple overlapping histograms. (D, Upper) Cartoon schematic of the constructs and ligands used in the experiment. (Lower) Representative reconstructed STORM images of mEos–EGFR and mEos–HER3 organization at the plasma membrane of NR6 cells after stimulation with 10 nM EGF or 10 nM NRG for the indicated amount of time. Cells were imaged using TIRF illumination to detect receptors selectively at the plasma membrane. (E) STORM images of mEos–EGFR or mEos–HER3 under the indicated conditions were corrected for blinking as described in B; then the pairwise distance histograms were constructed from the blink-corrected molecular positions. For all experiments, the count values for each bin are the median of hundreds of nonoverlapping regions of interest (25 × 25 pixels) analyzed in total and were compiled from 10–12 cells; all error bars reflect the SE. Data were compiled from all experiments, including experiments performed on different days, to account for sample variability. Statistical significance for all plots was calculated using a two-sided Wilcoxon rank sum test for equal medians. (F) Sum of the counts (y-value, also termed "integrated counts" here) from the pairwise correlation histograms in E up to 80 nm (first four bins), representing the increase in total number of receptors above the average density within a radius of 80 nm. Error bars represent the sum of the four SEs of the bins that were summed. **P < 0.001. (G) Cartoon schematic (Upper) and STORM images (Lower) of mEos–HER3 coexpressed with EGFR–GFP and stimulated with ligand (EGF or NRG) for the indicated times. (H) Pair-correlation analysis as described in E for STORM images of mEos–HER3 coexpressed with EGFR–GFP and stimulated with EGF or NRG. (I) Sums of counts calculated as in F for the above H pair-correlation histograms. **P < 0.01; ***P < 0.001.
status of key tyrosine residues in the C-terminal tails of EGFR and HER3 by Western blot analysis of the NR6 cell lines stably coexpressing tagged HER3 and EGFR receptors. As shown in Fig. 1, HER3 phosphorylation is comparable when cells cotransfected with HER3 and EGFR are stimulated with EGF or NRG.

This HER3 phosphorylation translates to similar levels of serine-threonine kinase (AKT) phosphorylation, which is predominantly induced by phosphorylated HER3 (Fig. 1). In contrast, although phosphorylated EGFR is robustly detected in response to EGF, almost no signal is detected in response to NRG (Fig. 1). Consequently, phosphorylation of ERK, a main downstream target of EGFR, is also significantly lower in response to NRG than with EGF stimulation (Fig. 1).

The observed lack of EGFR phosphorylation in response to NRG is in agreement with previous observations (10, 14), but it is somewhat puzzling in the context of our understanding of the mechanism of HER receptor activation. According to this mechanism, EGFR and HER3 are expected to form an asymmetric kinase dimer upon ligand-induced heterodimerization. Because of severe impairment in catalytic activity, HER3 has been shown to act as the allosteric activator of EGFR when these two partners form an asymmetric dimer (6, 7). Because EGFR is the only active kinase in this complex, the resulting receptor phosphorylation is a result of its activity. Although phosphorylated EGFR is in the active dimer has been shown to induce a less pronounced than in monomers, it does occur (36). Why then cannot EGFR autophosphorylate itself when stimulated with NRG in the presence of HER3?

STORM-Based Measurement of Receptor Clustering. To understand the underlying mechanism for the difference in the response of the coexpressed EGFR and HER3 receptors to stimulation with their cognate ligands, we examined how ligand addition affects their spatial organization at the plasma membrane using our STORM analysis. Unlike organic fluorophores such as Alexa 647, whose blinking behavior can be erratic and thus subject to overcounting, some PAFPs, such as mEos, exhibit a brief burst of blinks and then photobleach and do not return to a bright state (see Fig. S2A for a representative fluorescence time trace). The blinking behavior of mEos then can be deconvoluted by applying a blink-correction algorithm to discern whether fluorescent bursts originated from a single molecule or from multiple molecules within the spatial resolution (20 nm) (34). In this method, bursts of fluorescence (blinking) originating from a single molecule can be combined by applying thresholds in both the temporal and spatial dimension of the fluorescence localizations (Fig. 2B). Both thresholds were determined experimentally: the temporal threshold from the dark-state lifetime distribution, and the spatial threshold from the pair-correlation histogram of the raw data (Fig. S2B and C). The pair-correlation histogram is the distribution of distances between any two pairs of localizations; this function is flat if all molecules are distributed randomly but exhibits a peak with increased local density of molecules around another molecule (Fig. 2C). The amplitude of the peak increases if the local density increases, and the width of the peak increases with cluster size (Fig. 2C). After combining the blinks originating from single molecules, we constructed a blink-corrected pair-correlation histogram, which we used as a quantitative readout of receptor clustering.

When Expressed Alone, EGFR, but Not HER3, Clusters in Response to Binding of the Cognate Ligand. Using STORM, we first looked at how the organization of EGFR and HER3 at the plasma membrane responds to stimulation with their respective selective ligands, EGF and NRG, when these receptors are expressed alone. NR6 cells stably expressing mEos-tagged EGFR or HER3 were serum starved, stimulated with their respective ligands for varied periods of time, and subsequently fixed and imaged by STORM using total internal reflection fluorescence (TIRF) illumination to image the receptors selectively at the plasma membrane. Upon stimulation with EGF, we observed that EGFR molecules start to cluster as early as 1 min after EGF stimulation, forming increasingly larger clusters at later time points (Fig. 2 D–F). Using a counting radius of 50 nm (chosen because it is approximately equal to the FWHM spatial resolution of the raw STORM image), we created histograms of the number of receptors per cluster and estimated that these larger clusters contain ~5–20 receptor molecules on average (Fig. S3A). This number is an estimate, and the exact number can depend on several parameters and aspects of the counting method; therefore we use it only as a general comparison between different receptor clusters. In contrast to EGFR, no measurable change in clustering could be detected for the catalytically impaired HER3 in the presence of NRG (Fig. 2 D–F).

Notably, the pair-correlation histograms describing EGFR and HER3 organization under the serum-starved conditions are not flat, suggesting that these receptors could exist in oligomeric states larger than monomeric in the basal condition (Fig. 2E). To understand the origin of this basal-level peak in the pair-correlation, we used a calibration system previously applied to count the number of molecules in clusters in yeast cells (34). In this method, different oligomeric states are modeled by fusion of an increasing number of mEos molecules to the pleckstrin homology (PH) domain of AKT, which is monomeric and localizes to the plasma membrane (34). We analyzed blink-corrected pair-correlation functions of 1×, 2×, and 3× repeats of mEos fused to the PH domain in NR6 cells. In contrast to the analysis performed in yeast, the correlation function of the monomeric PH-1x-mEos fusion in mammalian cells was not flat, even at relatively low (20 molecules/μm²) expression level (Fig. S2D).

As an additional control, we also analyzed the membrane organization of a single-pass transmembrane protein, CD86 previously demonstrated to be monomeric (37]), tagged at the C terminus with mEos, and observed a similar peak in the pair-correlation function of the monomeric CD86 (Fig. S2D). Although several possible explanations for this peak observed for both PH-1x-mEos and CD86-mEos, which did not appear in the counting study in yeast (34), it is possible that this behavior reflects intrinsic heterogeneity of the mammalian cell membrane environment, such as the presence of cholesterol-rich lipid microdomains or regions of the membrane that are not completely flat. These irregularities would be expected to prevent uniform distribution of membrane proteins. We therefore have refrained from interpreting the basalflevel clustering of HER receptor clustering at the plasma membrane and instead focused on relative changes in HER receptor organization at the membrane in response to ligand stimulation.

EGF Induces HER3 Clustering in the Presence of EGFR. We next used STORM to investigate the effect of EGF and NRG stimulation on HER3 and EGFR when they are coexpressed. Because PAFPs that can be paired with mEos for two-color STORM have substantially lower brightness, we focused on single-color experiments in which one receptor was tagged with mEos and another with the monomeric version of GFP. GFP labeling allowed the selection of cells that coexpress both receptors but did not interfere with the detection of mEos. We first looked at mEos–HER3 coexpressed with EGFR–GFP. Remarkably, HER3 organization was significantly different when cells were stimulated with NRG rather than EGF. In the presence of its own ligand, NRG, and EGFR coexpression, the extent of HER3 clustering was similar to that observed for HER3 alone upon NRG stimulation (Fig. 2 G and H). We reasoned that this behavior is compatible with NRG-induced HER3/EGFR heterodimers, which in our assay would not be detected as a change in the HER3 oligomerization state. In contrast, EGF-induced significant clustering of HER3 at the membrane upon EGFR coexpression (Fig. 2 G and H), but not in the absence of EGFR (Fig. S4A). This effect could be seen as early as 5 min after EGF stimulation and was reminiscent of the behavior of EGFR in response to EGF when EGFR was expressed alone (Fig. 2D).
We then examined whether blocking EGFR inhibited EGFR activity through an alternative strategy using the small-molecule kinase inhibitor gefitinib. Gefitinib binding is compatible with kinase asymmetric dimerization but blocks catalysis (39). The addition of EGF to cells in the presence of gefitinib also prevented the formation of higher-order EGF clusters but, interestingly, increased the basal level of clustering (Fig. 3 B and E). We reasoned that this increased basal level might be caused by the stabilization of a preformed lower-order oligomeric state of EGFR, such as a dimer, as has been described previously for other type I EGFR kinase inhibitors (40). Cumulatively, our results show that EGFR clustering depends on its ability to form a catalytically active complex.

We then used the EGFR–V924R mutant to test if HER3 clustering in response to EGF depends on EGFR clustering. When mEos–HER3 was coexpressed with the EGFR–V924R–GFP, we could no longer detect HER3 clustering in response to EGF (Fig. 3 C and F). These results indicate that HER3 reorganization at the plasma membrane in response to EGF is linked directly to ligand-induced changes in the behavior of its interaction partner, EGFR.

**HER3 Phosphorylation by EGFR Depends on the Ability of EGFR to Homo-Oligomerize.** We then examined whether blocking EGFR homo-oligomerization while retaining its ability to interact with HER3 will influence the extent of HER3 phosphorylation induced by EGF. To this end, we used the EGFR–V924R mutant. Although this mutation blocks the ability of EGFR to self-activate by inhibiting its allosteric activator function, it preserves its function as a receiver kinase. The catalytic function of the EGFR–V924R mutant therefore can be activated by partnering with a receptor with an intact allosteric activator function, such as HER3 (3, 6).

In cells coexpressing EGFR–V924R with HER3, EGFR phosphorylation could no longer be detected in response to EGF (Fig. 4 A). These data indicated that the EGFR phosphorylation detected upon EGF stimulation is primarily a result of EGFR activation through self-association and that HER3 cannot act as an allosteric activator receptor for the EGFR kinase under these circumstances. Moreover, we observed that in these cells, HER3 is no longer phosphorylated in response to EGF (Fig. 4 A). This lack of phosphorylation does not result from the inability of
Homo-oligomerization of EGFR is required for HER3 phosphorylation in response to EGF. (A, Upper) Cartoon depicting EGFR–V924R–GFP and mEos–HER3 receptors. (Lower) Western blot analysis of the lysates from NR6 cells stably expressing mEos–HER3 and EGFR–V924R–GFP. The lysates were collected after stimulation with 10 nM EGF or 10 nM NRG for the indicated periods of time. (B, Upper) The cartoons provide a schematic representation of predicted interaction preferences between EGFR–V924R and HER3 under different ratios of EGF and NRG. (Lower) Western blot analysis of the lysates from NR6 cells stably expressing mEos–HER3 and EGFR–V924R–GFP. The lysates were collected after stimulation with 10 nM NRG and increasing concentrations of EGF (0–25 nM) for 10 min. Phosphorylation was monitored at Y1289 for HER3 and at Y1068 for EGFR with site-specific antibodies.

Fig. 4. Homo-oligomerization of EGFR is required for HER3 phosphorylation in response to EGF. (A, Upper) Cartoon depicting EGFR–V924R–GFP and mEos–HER3 receptors. (Lower) Western blot analysis of the lysates from NR6 cells stably expressing mEos–HER3 and EGFR–V924R–GFP. The lysates were collected after stimulation with 10 nM EGF or 10 nM NRG for the indicated periods of time. (B, Upper) The cartoons provide a schematic representation of predicted interaction preferences between EGFR–V924R and HER3 under different ratios of EGF and NRG. (Lower) Western blot analysis of the lysates from NR6 cells stably expressing mEos–HER3 and EGFR–V924R–GFP. The lysates were collected after stimulation with 10 nM NRG and increasing concentrations of EGF (0–25 nM) for 10 min. Phosphorylation was monitored at Y1289 for HER3 and at Y1068 for EGFR with site-specific antibodies.

**HER3 Phosphorylation in Response to EGF Proceeds Through a Noncanonical Mechanism.** If EGFR preferentially drives self-association of EGFR through asymmetric kinase dimerization (Fig. 4B), it would suggest that the chances of forming EGFR/HER3 asymmetric kinase dimers in response to EGF are minimal. Nevertheless, we observe that HER3 is robustly phosphorylated by the wild-type EGFR in response to EGF (Fig. 1), indicating that its phosphorylation might proceed through a mechanism that does not rely on the canonical asymmetric dimer interface formed between EGFR and HER3. We introduced a V926R mutation in HER3 that is equivalent to the V924R mutation in EGFR and that disrupts the allosteric activator interface between HER3 and EGFR (6). We observed that, in the presence of EGF, the HER3–V926R mutant is still robustly phosphorylated on a wide spectrum of phosphorylation sites (Fig. 5A). In the presence of NRG, however, introduction of the V926R mutation in HER3 completely blocks phosphorylation by EGFR (Fig. 5A). These findings demonstrate that EGF-induced crosstalk between EGFR and HER3 proceeds through a noncanonical mechanism whereby EGFR and HER3 do not rely on the formation of a direct asymmetric dimer. NRG induces intrinsically different interactions between HER3 and EGFR and engages the receptors through asymmetric heterodimerization of the kinase domains that rely on HER3's function as an allosteric activator.

Although phosphorylation of HER3 in response to EGF does not proceed through the canonical dimerization mechanism, it is strictly dependent on EGFR's ability to self-activate in EGF-induced homo-oligomers that we observed by STORM (Figs. 2D–F and 4). Our imaging analysis of HER3 coexpressed with EGFR indicates that EGFR also mobilizes HER3 to cluster, a behavior that is not observed with the addition of NRG (Fig. 2G–I). We therefore assessed whether the HER3–V926R mutant is recruited to clusters; such recruitment could explain why it still retains the ability to be phosphorylated by EGFR in response to EGF, reflecting the behavior of wild-type HER3. In agreement with this assumption, we observed that HER3–V926R is indeed recruited to clusters efficiently (Fig. 5B and C), mirroring the behavior of wild-type HER3. The ability of HER3–V926R to cluster also demonstrates that, like its phosphorylation, HER3 clustering in response to EGF is independent of the asymmetric dimerization interface localized in its kinase domain.

We subsequently tested if another conserved portion of the intracellular region of HER receptors, the juxtamembrane segment, could be responsible for mediating the interaction between HER3 and EGFR in the EGF-induced clusters and enable HER3 receptor tail phosphorylation. In active HER receptor asymmetric dimers, the juxtamembrane segment of the receiver kinase interacts with a binding site on the kinase domain of the activator kinase (41). In this asymmetric arrangement, the receiver kinase potentially could bind the juxtamembrane segment of a third receptor, enabling lateral signaling (Fig. S5). We deleted the juxtamembrane segment in HER3 to see if this deletion would disrupt its phosphorylation by EGFR in response to EGF. However, HER3 missing the juxtamembrane segment was still phosphorylated efficiently by EGFR upon stimulation with EGF (Fig. S5). This finding suggests that HER3 phosphorylation in the EGF-induced clusters follows a different mechanism that could involve a different region of the receptor or might be a result of a high local concentration of the enzyme (EGFR) and substrate (HER3) in the clusters.

**HER2 Clusters Together with HER3 in Response to NRG, but Their Signaling Relies on Asymmetric Kinase Dimerization.** In light of the fundamental differences with which ligands control EGFR and HER3 receptor organization, we examined how HER3 interacts with another dimerization partner, HER2. Like HER3, HER2 is...
EGFR–HER3 signaling proceeds through another unique route that involves higher-order interactions at the plasma membrane but is dependent on the formation of the canonical asymmetric kinase dimer interface.

**Discussion**

Oligomerization of receptor tyrosine kinases, traditionally considered as dimerization, constitutes a basic regulatory principle behind their activation by extracellular ligands (42). Our observations solidify the notion that EGFR signaling is controlled through the formation of oligomeric complexes that extend beyond a dimer. In agreement with previous studies, we observed the formation of receptor clusters that increase in size over time upon EGF stimulation. Our data show that the clusters reach the approximate size of ~5–20 receptors upon 10 min of EGF stimulation, corroborating a number associated with spontaneously formed activated EGFR clusters on the cell surface of the human A431 carcinoma cells and perhaps suggesting a self-organizing principle within these clusters (28). We also observe that EGFR clustering is dependent on its kinase activity, as previously reported (28, 29), and we show that self-activation through the formation of an asymmetric kinase dimer is key to EGFR oligomerization. However, our data indicate that not all activating stimuli have the same effect on EGFR organization at the membrane, because when EGFR is recruited to a complex with another HER receptor, HER3, and activated via a HER3 cognate ligand, it does not cluster.

In contrast to EGFR, studies on the regulation of HER3 oligomerization in response to ligands have thus far yielded conflicting results. Cell-based approaches such as immunoelectron microscopy and quantum dot single-particle tracking analyses, have challenged this model and point to significant HER3 homodimerization and even clustering in response to NRG (30, 46). In contrast, no HER3 oligomers have been observed during biochemical studies of the isolated extracellular domain of HER3 (47, 48) or through studies of chimeric full-length receptors (49).

Our findings underscore the importance of considering HER3 behavior in the context of its molecular environment, which we were able to control by using a cell line that does not endogenously express HER receptors. We observed that, when expressed alone, HER3 does not form extensive homo-oligomers that are dissociated or formed upon ligand stimulation. However, when HER3 is coexpressed with EGFR or HER2, it engages in interactions that result in its clustering at the plasma membrane upon ligand binding. Intriguingly, this behavior is greatly influenced by the combination of the receptor type and the type of stimulating ligand. When coexpressed with EGFR, HER3 undergoes significant clustering only in the presence of EGF but not in the presence of NRG. When HER3 is coexpressed with HER2, NRG induces significant clustering of HER3, corroborating previous studies in which measurement of NRG-dependent HER3 clustering was conducted in cells with measurable levels of HER2 expression (30, 46).

Most importantly, our results have important implications for understanding the molecular mechanisms that underlie the cross-communication between HER3 and other HER receptors. In a seemingly reciprocal mode of interaction, such as activation of the HER3/EGFR complex by EGF or NRG, this receptor pair leads to divergent patterns of receptor phosphorylation depending on the ligand (Fig. 1). Our results show that these different patterns reflect changes in receptor organization induced by different ligands. We show that the lack of EGFR phosphorylation in response to NRG does not reflect its lack of engagement with HER3, because HER3 is robustly phosphorylated in an EGFR-dependent manner. Rather, EGFR cannot be
phosphorylated because NRG is unable to induce homomeric interactions in EGFR. It is not completely clear why EGFR needs to homo-oligomerize to become phosphorylated efficiently. A preference for the phosphorylation of receptor tails in trans in the EGFR receptor dimer was reported previously (36) and could explain why EGFR phosphorylation is not favorable in an EGFR/HER3 heterodimer in which EGFR would need to phosphorylate its own tail (in cis). Another possibility is that EGFR autophosphorylation is simply not a very efficient process, and higher-order clustering of this receptor induced by its own ligands, such as EGF, increases the rate of autophosphorylation. In an analogous manner, NRG-dependent clustering of HER2 that we observe is likely necessary for its efficient phosphorylation as suggested before by the studies using aptamers that are predicted to selectively block these higher-order interactions (50).

Asymmetric kinase domain dimerization, with HER3 restricted to the allosteric activator position because of impaired catalytic activity, has been a benchmark for understanding how HER receptors activate in heteromeric complexes (3, 6). Here we uncover significant differences in how HER3 forms signaling complexes with EGFR and HER2 in response to different ligands (Fig. 7). In the presence of its own ligand, NRG, HER3 engages with its dimerization partners EGFR and HER2 by taking the function of an allosteric activator kinase, adhering to the asymmetric kinase dimerization mechanism. However, when EGFR homomeric complexes form preferentially in the presence of EGF, HER3 does not form asymmetric kinase dimers with EGFR. Although this phenomenon has been noted before (51), the underlying mechanism was unknown. We show that, upon EGF stimulation, HER3 follows the behavior of its interaction partner, EGFR, and forms clusters. We propose that this behavior facilitates HER3 phosphorylation by promoting activating interactions between EGFR and HER3 in which they engage in the kinase/substrate mode rather than kinase/activator mode. Although at present we do not know the molecular mechanism of HER3 clustering in response to EGF-induced EGFR activation, EGFR clustering was shown to be concurrent with the generation and notable rearrangement of anionic lipids in the plasma membrane (26, 29). EGFR clustering also was shown to be promoted by depletion of cholesterol, suggesting that the membrane environment in which EGFR clusters is unlikely to represent lipid rafts (24). These data indicate that biophysical changes in the membrane may create an environment conducive to the clustering of EGFR interaction partners with which EGFR otherwise would form only weak interactions. In contrast to EGF, NRG fails to induce clustering of HER3 and EGFR, but, intriguingly, it does promote clustering between HER2 and HER3. The importance of the asymmetric kinase dimerization in this process suggests that this clustering is intrinsically distinct from the clustering of HER3 and EGFR that we observe upon EGF treatment.

In summary, our work brings mechanistic insights into the previously documented differences in signaling outcomes induced by stimulation with EGF and NRG. Our results show that, despite highly conserved structural features of HER receptors, not every ligand induces a receptor heterocomplex that is consistent with the asymmetric kinase dimerization mechanism. In these cases, higher-order oligomerization and cross-activation through lateral interactions in a kinase/substrate-like mode seem to come into play. As a result, there is a qualitative difference in the phosphorylation states of the engaged receptors, providing
Fig. 7. Summary of the underlying mechanistic differences in signaling by HER3-containing heteromeric complexes. (Left) Upon stimulation with EGF, EGFR clusters and self-activates through asymmetric kinase dimerization. Under these conditions, HER3 also clusters and is phosphorylated by EGFR without engaging with it through the asymmetric kinase dimer interface. (Right) NRG does not lead to clustering of either EGFR or HER3 when they are coexpressed and drives EGFR kinase activation through asymmetric kinase heterodimerization with HER3. In these dimers, HER3 plays a role of the allosteric activator. When HER2 and HER3 are coexpressed, they both cluster in response to NRG, and their signaling is dependent on HER3’s function as an allosteric activator of HER2.

Table 1. Materials and Methods

<table>
<thead>
<tr>
<th>Material</th>
<th>Specification</th>
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<tbody>
<tr>
<td>EGF</td>
<td>100 ng/mL</td>
</tr>
<tr>
<td>NRG</td>
<td>100 ng/mL</td>
</tr>
<tr>
<td>FACtory</td>
<td>1000 U/mL</td>
</tr>
<tr>
<td>Puromycin</td>
<td>2 mg/mL</td>
</tr>
<tr>
<td>Hygromycin</td>
<td>250 μg/mL</td>
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Sample Preparation. Eight-well chambered coverglass slides (Lab-Tek) were washed with 1 M KOH for 10 min and then washed and coated with polylysine (0.01%) for 30 min. Stable cell lines expressing the proteins of interest were deposited on the washed glass slide and allowed to adhere for 36 h. Cells were serum starved for 6 h and then were stimulated for specified amounts of time with 10 nM EGF or NRG (saturating concentration) at 37 °C. Cells were fixed with 4% formaldehyde for 10 min at 20 °C, washed, and kept in PBS at 4 °C for up to 1 wk in the dark before imaging.

Microscopy. Fixed cells were imaged using a home-built STORM inverted microscope consisting of 405-nm, 488-nm, 561-nm, and 647-nm lasers. The lasers were coaligned and reflected by a quad-band dichroic mirror through a 10×: Olympus objective (NA 1.4), and fluorescence was collected from the same objective with an Andor EMCCD camera. Images were collected and processed with a home-written software program. Maximum laser power used during STORM measurements was 1.5 mW for 405 nm and 65 mW for 561 nm. Cells expressing only one receptor fused to mEOS were carefully located at low green intensity using a wide-field setting (20 Hz) to minimize bleaching of mEOS. The cells then were illuminated with a high intensity (65 mW) 561-nm laser at 60 Hz, with an activation frame (405 nm) every 10 frames. By increasing the power on the 405-nm laser (zero to 0.3 mW) during imaging, mEOS was photoconverted from a green to a red state and could be observed as single fluorophores. The activation frames were programmed to occur simultaneously with a brightfield image, which was used in postprocessing to correct for drift. Approximately 10,000–60,000 images were collected, until all mEOS fluorophores were photobleached, while the 405-nm power was kept low enough to activate mEOS only sparsely (about 5–30 fluorophores per frame) to avoid improper counting in the analysis. For cells expressing two receptors, one, two, or three copies of mEos3.2 were fused at the C terminus of the HER receptors. Samples were imaged on the same day of transfection. The images were collected from cells expressing mEOS-fused receptor. The size of the Eph clusters has been correlated with the strength and type of the signaling response (53–55). Likewise, our data show that constriction of EGFR receptor within a heterodimer in which its kinase domain is efficiently activated is still not sufficient for its autophosphorylation, and EGFR undergoes robust phosphorylation only when allowed to cluster. It is tempting to speculate that regulation through control of the size of the signaling unit could be also operative for other receptor tyrosine kinases to control their net phosphorylation and functional outcomes of their activation. Finally, our findings have important implications for the treatment of human diseases in which HER3 signaling plays an important role. HER3 contributes to drug resistance to EGFR– or HER2-targeted therapeutics (56) and recently was discovered to carry a spectrum of mutations in human cancers (57). The most recognized function of this catalytically impaired receptor is serving as an allosteric activator of other HER receptors. Our data show that, under some conditions, HER3 can contribute to signaling independently of this function, emphasizing the need for the careful design of therapeutic strategies targeting HER3.

Materials and Methods

**Plasmids and Cell Lines.** Murine stem cell virus (MSCV) plasmids and Plat-e cells were a generous gift from the Moasser laboratory at UCSF. mEos3.2 was fused between the human EGFR, HER2, or HER3 signal peptide domains, residues 1–24 (EGFR), 1–22 (HER2), 1–19 (HER3). The remaining residues of the mammalian Gag assembly reagent into retrovirus-capable vectors (MSCV). Similarly, for mEos-tagged PH constructs, one, two, or three copies of mEos3.2 were fused at the N terminus of the PH domain of phospholipase C delta 1 (PLCs); for the CD86 construct, mEos3.2 was fused at the C terminus of the gene. Virus was produced by transient transfection of the plasmid into Plat-e cells using FuGENE (Promega), and virus-containing supernatant was collected after 2 d. Supernatant was filtered (0.22-μm pore diameter) and was added immediately to NR6 cells plated on the previous day; antibiotic (250 μg/mL hygromycin or 2 μg/mL puromycin) was added 24 h after viral infection. Cells were cultured in Gibco DMEM supplemented with 10% FBS, penicillin/streptomycin, sodium pyruvate, and nonessential amino acids. For cells expressing two different HER receptors, one receptor was tagged with monomeric EGFP at the C terminus and the other with the Δ0.03 mV of the HER receptor (e.g., EGFR–GFP), and the other receptor was tagged with mEOS at the N terminus after the signal peptide (e.g., mEOS3.2–HER3). The second construct also was introduced by stable transfection (as above) to a cell line already expressing the mEOS-fused receptor, and coexpressing cells were selected by adding both hygromycin (250 μg/mL) and puromycin (2 μg/mL) to the medium.

**Signaling Assays.** NR6 cells stably expressing HER receptors were plated at a density of 120,000 cells per 10-cm round plate and were allowed to adhere for 36 h. Cells were serum starved and stimulated with 10 nM (or as specified for the titration) ligand for specified amounts of time at 37 °C. Cells then were set on ice and lysed and collected in Tris (50 mM)/NaCl (150 mM) buffer containing 1% Triton X-100, 1 mM Na3VO4, 1 mM EDTA, 1 mM NaF, and one-fourth of a Complete Mini, EDTA-free protease inhibitor tablet (Roche) per 10 mL buffer. The lysates were spun down to remove larger organelles, and the supernatant was assayed for protein concentration using a BCA kit (Thermo Fisher). Samples were run on SDS/PAGE, transferred to a PVDF membrane, and blotted for antibodies (see Table S1 for a list of antibodies).
After secondary incubation with HRP-linked antibody, ECL prime Western blotting detection agent (GE Healthcare) was added to the membrane, and membranes were imaged on a developer.

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